

# Unlocking UK climate adaptation finance: lessons from adaptation investment planning

## ANNEX: Global guidance and adaptation investment frameworks reviewed

To accompany the brief by Rachel Harrington-Abrams, Kit England, Kate Gannon, Sara Mehryar, Anna Beswick, Denyse S. Dookie, Francisco de Melo Virissimo, Ashley Thornton, Matt Ellis, Paul Watkiss and Bill Donovan available at: [www.lse.ac.uk/granthaminstitute/publication/unlocking-uk-climate-adaptation-finance-lessons-from-adaptation-investment-planning/](http://www.lse.ac.uk/granthaminstitute/publication/unlocking-uk-climate-adaptation-finance-lessons-from-adaptation-investment-planning/)

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# Annex 1. Global guidance and adaptation investment frameworks reviewed

Name	Institutional author	Geographic focus	Audience
<b>Global – National level</b>			
Climate Adaptation Investment Framework (CAIF)	Organisation for Economic Co-operation and Development (OECD)	Global	National governments; developed/developing but examples from OECD contexts
Bridging the Gap: NDCs 3.0, National Transition Plans and Climate Investment Prospectuses	WSP, with support from Aviva Investors	Global	Emerging markets and developing economies (EMDEs)
Adaptation Investment Plans	NAP Global Network	Global; especially developing countries	Public sector
Climate Investment Planning and Mobilization Framework (CIPMF)	Green Climate Fund and NDC partnership	Global; especially developing countries	Public sector (national, also subnational when relevant), ministries of finance, planning, adaptation; development partners/financiers also engaged
Adaptation Pipeline Accelerator	United Nations Development Programme (UNDP)	Global	National governments
Strengthening the Role of Ministries of Finance in driving Climate Action – A Framework and Guide for Ministers and Ministries of Finance	Coalition of Finance Ministries	Global	National ministries of finance
Enabling Private Investment in Climate Adaptation and Resilience: Current Status, Barriers to Investment and Blueprint for Action	World Bank	Global (designed for developing countries)	Public sector – enabling environment; private sector investors
CDKN NDC – Planning for NDC Implementation: A Quick-Start Guide	CDKN and Ricardo AEA	Global (aimed at developing countries, some applicable lessons for developed countries)	Public sector, national level (as well as subnational and development partners)
<b>Regional – National level, Asia/Pacific</b>			
Climate Adaptation Investment Planning Process (CAIP)	Asian Development Bank (ADB)	Asia	National governments in the Asia/Pacific region, in partnership with ADB
Financing Asia's National Adaptation Plans: An Assessment of the Region's Adaptation Plans Against Investors' Expectations	Asia Investor Group on Climate Change (AIGCC)	Asia	Asian investor groups and national governments in Asia region
Climate Change Financing Framework (CCFF)	UNDP	Global	National governments in Asia and the Pacific
Enabling Resilience Investment Initiative	CSIRO	Global (designed for Australia)	Communities, businesses, and local, regional and national government
Mainstreaming, Accessing and Institutionalising Finance for Climate Change Adaptation	ACT (Action on Climate Today), OPM	Global	Developing country governments (especially in Bangladesh, Nepal, Pakistan, the Philippines and Thailand); wider applicable lessons across contexts
<b>Regional – National level, Europe</b>			
Adaptation Support Tool	European Environment Agency	Europe	National level policymakers/coordinating agencies
Climate Adaptation Capability Framework (ACF)	Adaptation Scotland; Sniffer/Verture	Scotland, developed country	Public sector organisations: national, regional, local/public bodies

IEMA Climate Change Adaptation Practitioner Guide	IEMA	UK	UK planners and policymakers
Early VfM Adaptation Toolkit (UK/donor guidance)	Department for International Development (DFID)	UK, global	UK Government, global
EC Guidelines on Member States' Adaptation Strategies and Plans	European Commission	Europe	EU Member States
<b>Regional – National level, Africa</b>			
Mobilising Private Sector Finance for Climate Change Adaptation	weADAPT; GIZ, SEI	Country cases in sub-Saharan Africa; wider lessons for national planning	Public sector (national government), some local government; private sector; donors; development partner
<b>Subnational</b>			
Adaptation Investment Cycle	Pathways2Resilience	Europe	Regional governments in Europe
State of Cities Climate Finance Part 2: The Enabling Conditions for Mobilising Urban Climate Finance	Climate Policy Initiative (CPI)	Global	City governments globally
European Resilience Management Guideline (Smart Mature Resilience)	ICLEI	Global (especially Europe)	City-level governments, city counsellors, city stakeholders and civil society groups
CLIMATEFIT	EU-funded; World Climate Foundation, SEI	Europe	Subnational governments – 20 EU territories including 19 municipalities and 1 region
NetZeroCities 2030 Climate Neutrality Investment Plan	NetZeroCities	Global	Local, regional, national stakeholders to coordinate/support cities
Ready-to-Fund Resilience Toolkit	American Society of Adaptation Professionals	US	Local government leads and partners working on resilience
<b>Investors</b>			
Climate Resilience Investment Framework (CRIF)	The Institutional Investors Group on Climate Change (IIGCC)	Global	Private investors, including asset owners and asset managers
Net Zero Investment Framework (NZIF)	IIGCC	Global	Private investors, including asset owners and asset managers
Adaptation Planning for Business – Navigating Uncertainty to Build Long-term Resilience	World Business Council on Sustainability Development	Global	Global businesses, building in physical risk assessment in planning
Adaptation & Resilience Impact: A Measurement Framework for Investors	UN Environment Programme Finance Initiative (UNEPFI)	Global	Development finance institutions, multinational development banks and impact investors; public sector ministries that want to align with private investors