## **Privacy Notice**

## Effect of ethnic segregation on educational attainment in the UK.

## Approved on 28 July 2021

The researchers on this project have requested access to National Pupils Database (NPD), in particular: School Census Pupil Level data, from 2001-02 to 2019-20, Key Stage 1, Key Stage 2, Key Stage 4, and Key Stage 5 Pupil Level data, from 1997-98 to 2018-19. The dataset will be created from a bespoke extract of NPD by Department for Education (DfE).

Access to data will be provided via SRS. The SRS is a ONS platform, accredited under the Digital Economy Act (DEA) 2017 by the UK Statistics Authority for the preparation and provision of data for research purposes. It gives accredited or approved researchers secure access to de-identified, unpublished data in order to work on research projects for the public good. All NPD records have been de-identified with names and other non-essential information removed. However, this remains sensitive and confidential information that requires careful handling and respect at all times, in line with legal standards and good practice. Privacy details can be found below.

Data Controller: London School of Economics

**Project team:** Dr Guy Michaels (Primary contact); Shadi Farahzadi (Lead researcher)

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**Data Protection Officer:** Rachael Maguire. Email: r.e.maguire@lse.ac.uk. Address: London School of Economics and Political Science, Houghton Street, WC2A 2AE. Telephone: 020 7849 4622

The Data Protection Officer should be contacted if you want to exercise your rights to access, rectification, erasure, restrict processing, object to processing, and automated processing/profiling. This notice covers the right to be informed under article 14 of the General Data Protection Regulation.

**Personal data obtained:** The data we are using come from National Pupils Database (NPD). NPD is a record-level administrative data resource curated by the UK government's Department for Education. It contains child-level and school-level data on all pupils in state schools in England.

To explore data in National Pupil Database visit <a href="https://find-npd-data.education.gov.uk/">https://find-npd-data.education.gov.uk/</a>

## Purpose and legal basis of processing:

Our request to process the DfE data (NPD) is made on the legal basis outlined in the GDPR, Article 6(1)(e) and Article 9(2)(j). That is, our research establishes a task in the public interest, as it is undertaken within the London School of Economics, and the data is necessary for the university to fulfil its function in the public interest (Article 6(1)(e)). As social policy researchers at the LSE it is part of our role to conduct research with a view to furthering academic knowledge and understanding and to informing public debate. This research is in the public interest because of the potential societal benefits. The project will significantly extend understanding of ethnic segregation in schools and its effect on educational attainment and will improve the coverage and presentation of existing statistical information, as it will address the lack of quantitative evidence on multidimensional disadvantage and educational attainment / participation among different ethnic groups. In terms of processing special category data, the legal basis is Article 9(2)(j) - that is, the research is conducted for archival, research or statistical purposes that are in the public interest.

Our request to process NPD data (above) is made through Department of Education (DfE). The data is linked and shared on the basis of Chapter 5 of Part 5 of the Digital Economy Act (DEA) 2017. This is done with the aim to increase public benefit which this project satisfies (as described above). "The Research power in the Act is a permissive gateway to enable public authorities to make information available to researchers for the purpose of research in the public interest, provided a number of conditions are met. There are requirement built into the Research power requiring certain parties involved in the disclosure of this information to be accredited, following a process set out in section 71 of the Act. Before personal information can be shared for research purposes, it must be 'processed' either by an accredited third party or the data-holding public authority itself (in both cases referred to as 'the processor'). In addition to processing data so that personal information is de-identified, a processor will need to have procedures in place for the linking, storing, and curating of data, and other related procedures as appropriate. When the information has been processed, it can be disclosed to an accredited researcher in a secure environment. The accredited processor must take reasonable steps to ensure that any data (or analysis based on the data) that are retained by the researcher, or are published, undergo a disclosure control process to minimise the risk of the data subjects being re-identified or other misuses of the data". Through the RAP application, the researchers on this project have demonstrated the public good. The data has been de-identified, appropriate procedure for linking, storing and curating of the data by ONS is in place, researchers on this project are Accredited Researchers, and are accessing the data in the ONS secure environment (Secure Research Service<sup>2</sup>), having obtained Approved Researcher training and been through the DSB check.

The researchers have agreed to respect the right to data protection at all times, and will carry out suitable and specific measures to safeguard the fundamental rights and the interests of the data subject, including ensuring non-disclosive output and the maintenance of data protection measures when using the data.

<sup>&</sup>lt;sup>1</sup> Research Code of Practice and Accreditation Criteria - GOV.UK (www.gov.uk)

<sup>&</sup>lt;sup>2</sup> Accessing secure research data as an accredited researcher - Office for National Statistics (ons.gov.uk)

**Data retention:** Our access to the data is via the Office for National Statistics Secure Data Service (SRS) and will expire once our project is complete.

All our analysis will be conducted in the SRS and the ONS will approve all outputs. No record-level data will be shared beyond the research team or with any third parties or international organisations. Aggregate findings only will be published in research papers, presentations, blogs and submissions to peer-reviewed academic journals.

The Department for Education (DfE) has legal powers to collect pupil and student data that schools and Further Educational colleges hold, and to share these data with third parties including researchers, provided they comply with strict confidentiality and security rules, with the Data Protection Act 2018 and with the General Data Protection Regulations (GDPR). More information is available on the government website. No automated decision-making or profiling will be conducted with this data.

If you think your data has been used in this research and you have reason to believe it has been used inappropriately, you have a legal right to complain to the Information Commissioner Office (ICO) by contacting them via their website, or by calling 0303 123 1113.