

# **Diameter of Polytopes: Algorithmic and Combinatorial Aspects**

**Laura Sanità**

Department of Mathematics and Computer Science  
TU Eindhoven (Netherlands)

Department of Combinatorics and Optimization  
University of Waterloo (Canada)

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# Linear Programming

## Linear Programming

- **Linear Programming** is concerned with the problem of
  - ▶ minimize/maximize a **linear** function on  $d$  **continuous** variables
  - ▶ subject to a finite set of **linear constraints**
- Example:

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$$\begin{array}{rcl} \max & c^T x & \\ & Ax & \leq b \end{array}$$

- ▶  $x \in \mathbb{R}^d$  is the vector of **variables**
- ▶  $c \in \mathbb{R}^d, b \in \mathbb{R}^n, A \in \mathbb{R}^{n \times d}$  are given

- The above problem instances are called **Linear Programs (LP)**.

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- LPs are a fundamental tool for solving harder problems. For example:
  - ▶ Optimization problems with **integer variables** (via Branch&Bound, Cutting planes,...)
  - ▶ **Approximation algorithms** for NP-hard problems.
  - ▶ Commercial **solvers** (CPLEX, GUROBI, XPRESS, ...), Operations Research Industry, **Data Science**.



**Algorithms for solving LPs?**

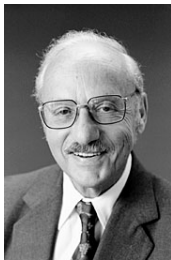
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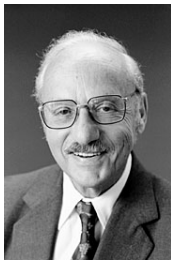


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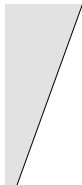
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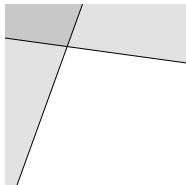
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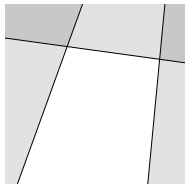
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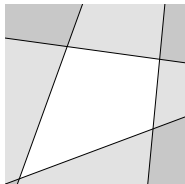
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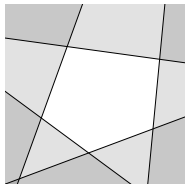
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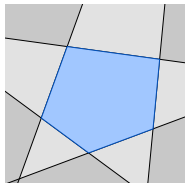
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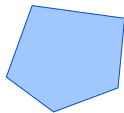
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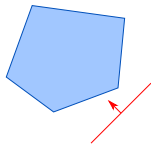
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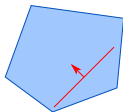
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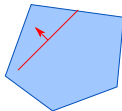
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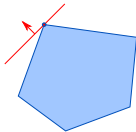
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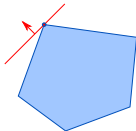
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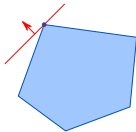
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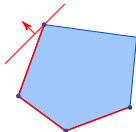
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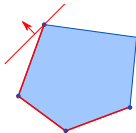
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- The operation of moving from one extreme point to the next is called **pivoting**

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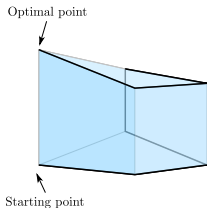
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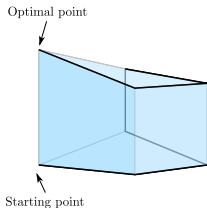
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[Klee&Minty'72, Jeroslow'73, Avis&Chvátal'78, Goldfarb&Sit'79,  
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- The Simplex algorithm (with e.g. Dantzig's rule) can 'implicitly' solve hard problems [Adler,Papadimitriou&Rubinstein'14, Skutella&Disser'15, Fearnley&Savani'15]

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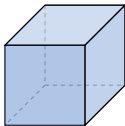


**Related Question:** *What is the maximum length of a 'shortest path' between two extreme points of a polytope?*

## Diameter of polytopes

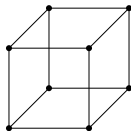
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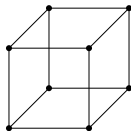
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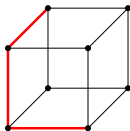


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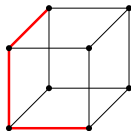
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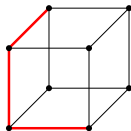
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**Remark:** *In order for a polynomial pivoting rule to exist, a **necessary** condition is a polynomial bound on the value of the diameter!*

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→ The latter result holds for half-integral polytopes with a very easy description (**fractional matching polytope**).

**In this lecture**



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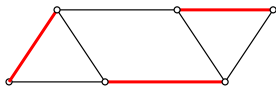
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- Characterization of the diameter of two polytopes (well-known in the combinatorial optimization community):
  - ▶ the matching polytope
  - ▶ the fractional matching polytope
- Discuss general algorithmic and hardness implications
- Highlight open questions

## The matching polytope

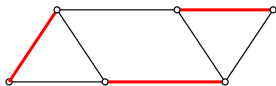
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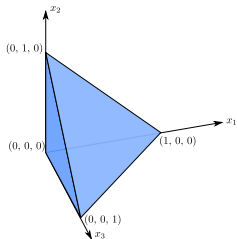
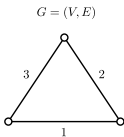


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- For a graph  $G = (V, E)$ , a **matching** is a subset of edges that have no node in common.



- The **matching polytope** ( $\mathcal{P}_M$ ) is given by the **convex hull** of characteristic vectors of matchings of  $G$ .



## The matching polytope

- [Edmonds'65] gave an LP-description of  $\mathcal{P}_M$ :

$$\mathcal{P}_M := \{x \in \mathbb{R}^E : \begin{array}{ll} \sum_{e \in \delta(v)} x_e \leq 1 & \forall v \in V, \\ \sum_{e \in E[S]} x_e \leq \frac{|S|-1}{2} & \forall S \subseteq V : |S| \text{ odd} \\ x \geq 0 \end{array}\}$$

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- Matching is a graph problem. Any **graphical** characterization of adjacency?

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### Theorem [Balinski&Russakoff'74, Chvátal'75]

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- ▶ *Sufficiency:* There is an objective function for which these matchings are the **only** optimal extreme point solutions.
- ▶ *Necessity:* If not, such an objective function can't exist! □

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- **Obs 1:** From [Edmonds'65] it follows that the diameter of the matching polytope can be computed in **polynomial time**.
- **Obs 2:** We can restate as:

$$\text{diameter}(\mathcal{P}_M) = \max_{x \in \text{vertices}(\mathcal{P}_M)} \{\mathbf{1}^T x\}$$

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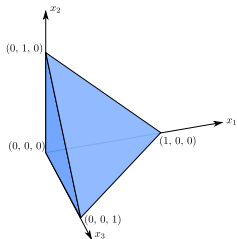
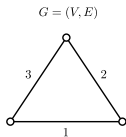
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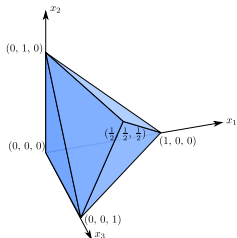
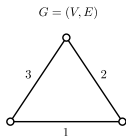


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- Adjacency relations have also been studied (see e.g. [Behrend'13](#))
  - Let's derive some **graphical** properties of adjacent extreme points!

# Adjacency

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- Consider again the LP-description.

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  - Obs:** An  $n$ -connected graph with  $n + 1$  edges has  $\leq 2$  odd cycles!



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- We explicitly highlight the following **adjacencies**:



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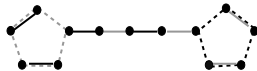
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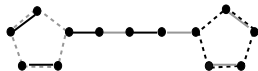
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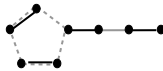
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**Exercise:** *Prove that these fractional matchings are adjacent extreme points!*

**Diameter**

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### Theorem [S.'18]

$$\text{diameter}(\mathcal{P}_{FM}) = \max_{x \in \text{vertices}(\mathcal{P}_{FM})} \left\{ \mathbf{1}^T x + \frac{|C_x|}{2} \right\}$$

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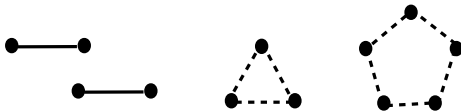
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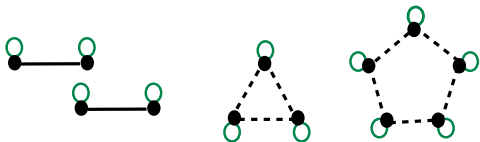
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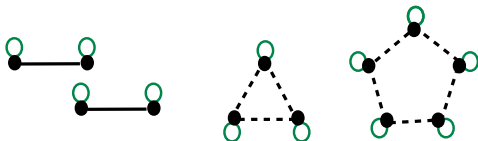
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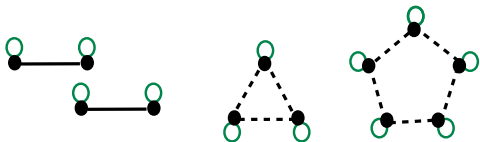
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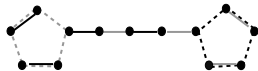
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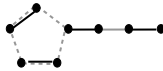
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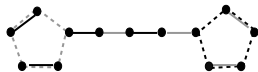
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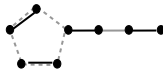
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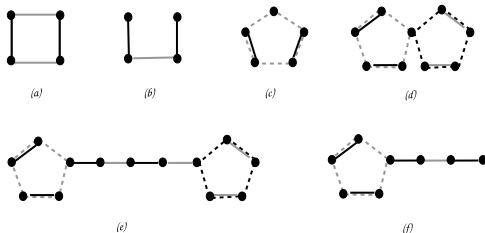


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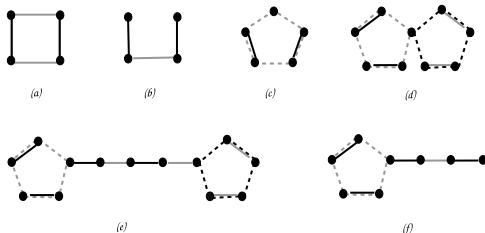
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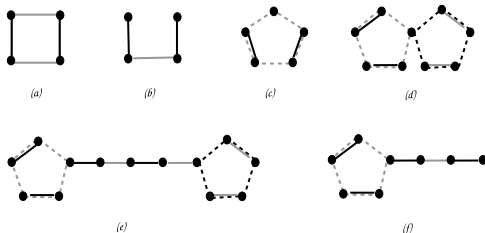


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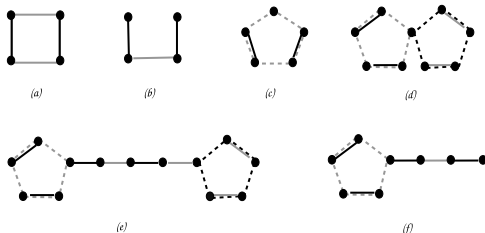
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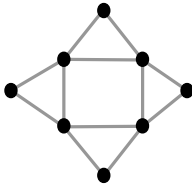
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## Upper bound

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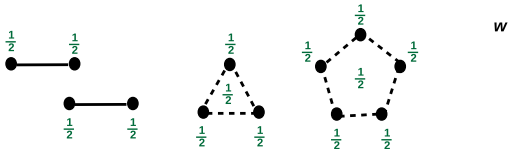
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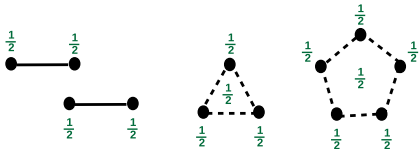
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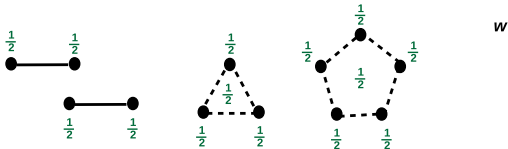
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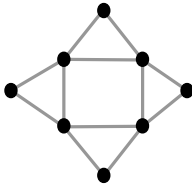
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  - Show: each **move** on the path can be **payed** using **two tokens** of nodes/cycles





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## **Algorithmic and hardness implications**

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- With some extra effort, we can strengthen the result to show **APX-hardness**.

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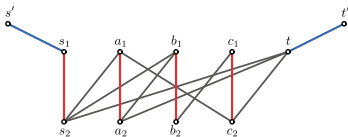
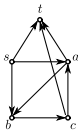
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- **Proof.** Reduction: Given a directed graph  $H$  we:
  - ▶ construct a **bipartite** graph  $G$ , extreme point  $x$  of  $\mathcal{P}_{FM}(G)$ , obj function  $c$ .
  - ▶ show that  $\exists$  a **neighboring optimal** extreme point of  $x$  iff  $H$  is Hamiltonian.



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- **Consequences** (unless  $P=NP$ ):
  - ▶ For **any** efficient pivoting rule, an edge-augmentation algorithm (like Simplex) can't reach the optimum with a **min number** of augmentations.

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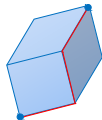
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**Thank you!**



# **Diameter of Polytopes: Algorithmic and Combinatorial Aspects**

**Laura Sanità**

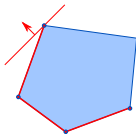
Department of Mathematics and Computer Science  
TU Eindhoven (Netherlands)

Department of Combinatorics and Optimization  
University of Waterloo (Canada)

IPCO Summer School, 2020

## From last lecture...

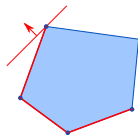
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- It exploits the fact that an **optimal** solution of an LP defined on a **polytope** can be found at one of its **extreme points**



- **Simplex Algorithm's idea:** **pivot** from an extreme point to an improving **adjacent** one, until the optimum is found!
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...Can we get new insights by **enlarging** the set of directions?

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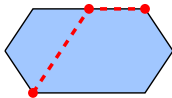
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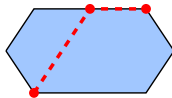
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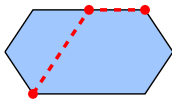
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- Circuits have a long history [[Rockafellar'69](#), [Graver'75](#), [Bland'76](#)].

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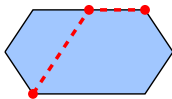


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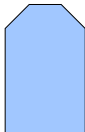
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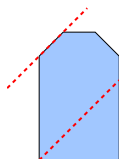
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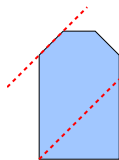
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- ▶ [Borgwardt,Finhold,Hemmecke'14] **conjectured** that the circuit-diameter satisfies the Hirsch bound.
- ▶ [Stephen&Yusun'15] showed that the Klee-Walkup polyhedron satisfies it.

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→ Emphasis: LPs defined on 0/1 polytopes

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- The set of circuits can be made *finite* by **normalizing** in some way, e.g.
  - ▶ **(optional:)**  $g$  has co-prime integer components

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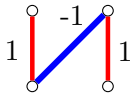
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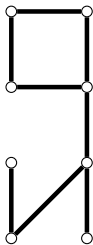
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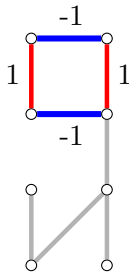
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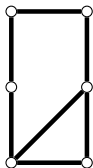
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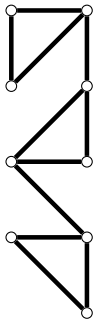
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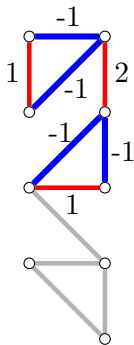
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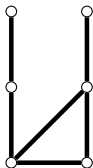
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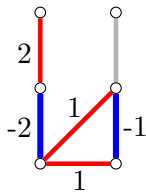
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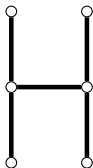
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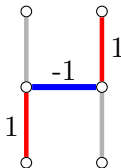
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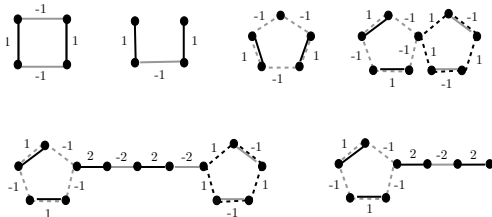
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- Hence, we get the following graphical characterization [De Loera, Kafer, S.'19]:



## **Algorithmic aspects**

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Using a greatest-improvement pivot rule, one can reach an optimal solution  $x^*$  from an initial one  $x_0$  performing  $O(n \log(\delta c^T(x^* - x_0)))$  circuit augmentations.

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- **Obs.** Result extends to LPs of general form  $\max\{c^T x : Ax = b, Bx \leq \ell\}$  (Details in [De Loera, Kafer, S.'19]).

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**Proof.** Relies on the **Sign-Compatible Representation Property** of circuits:

### Thm [Graver'75]

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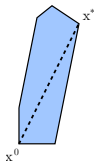
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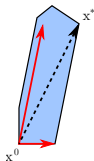
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#### Proof:

- ▶ *Approximation:* Straightforward extension of [DHL'15].
- ▶ *Hardness:* Follows from the hardness of determining whether a given extreme point has an optimal adjacent neighbor. □



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- **Consequences** (unless  $P=NP$ ):
  - ▶ For **any** efficient pivoting rule, a circuit-augmentation algorithm can't reach the optimum with a **min number** of augmentations.

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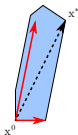
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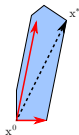


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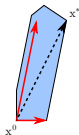
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**Def.** For a given extreme point  $x$  of an LP and objective function vector  $c$ , a **steepest-edge** direction  $g$  is an edge-direction incident at  $x$  maximizing  $\frac{c^T g}{\|g\|_1}$

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*...What do we get with the previous framework?*



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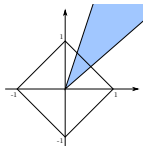
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**Obs 1:** The *feasible region* is a *polytope*. Why?

- ▶ Constraint (2) is describing the *feasible cone* at  $x$
- ▶ Constraint (1) corresponds to  $(v^T z \leq 1 \quad \forall v \in \{1, -1\}^n)$  – *cross-polytope*

**Obs 2:** A *steepest-edge* direction is an *optimal* solution. Why?

- ▶  $x$  is a 0/1 vector: only *one* constraint of the cross-polytope can be a facet.

## 0/1-Polytopes

### Thm [De Loera, Kafer, S.'19]

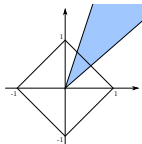
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**Proof:** Let the 0/1-LP be  $\max\{c^T y : y \in \mathcal{P}\}$  and  $x$  be a vertex. Consider the following optimization problem:

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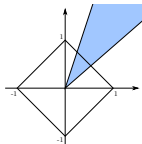
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Let

- ▶  $\alpha^* z^*$  be a steepest-edge augmentation at  $x$  (with  $\|z^*\|_1 = 1$ )
- ▶  $\alpha \tilde{z}$  be the greatest-improvement circuit-augmentation at  $x$  (with  $\|\tilde{z}\|_1 = 1$ )

Then:

$$\alpha^* c^T z^* \geq \alpha^* c^T \tilde{z} \geq \frac{\alpha^*}{\alpha} \alpha c^T \tilde{z} \geq \frac{1}{n} \alpha c^T \tilde{z}$$



## 0/1-Polytopes

- Combining the previous theorems, we get the following:

### Corollary 1

*For 0/1-LPs, moving along the **steepest-edge** yields an optimal solution from an initial extreme point in a **strongly-polynomial** number of steps.*

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#### Proof:

- ▶ One can reach an optimal solution in  $O(n^2 \log(\delta c^T(x^* - x_0)))$  edge-augmentations.
- ▶ The **analysis** can be improved relying on the technique of [Frank, Tardos'87], to make the above number **strongly polynomial**. □

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### Corollary 2

*For **non degenerate** 0/1-LPs, the Simplex method with a **steepest-edge** pivot rule reaches an optimal solution in **strongly-polynomial** time.*

**Question:** Can we get a similar result in presence of degeneracy?



**Circuit-diameter**

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*Can we exploit circuits to get insights on other long-standing conjectures about diameters in the literature?*

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- ▶ [Grötschel&Padberg '86] **conjectured** that also for the TSP polytope the diameter is 2. **Still open!**

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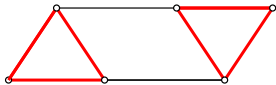
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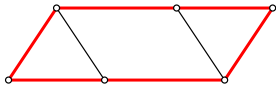
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- They also state: 4 is best possible if you always exchange perfect matchings.

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→ Which inequalities do we use?

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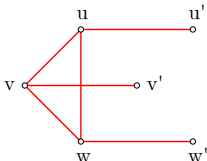
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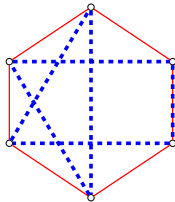
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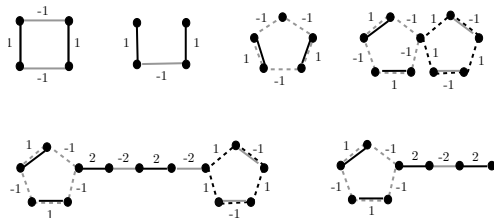
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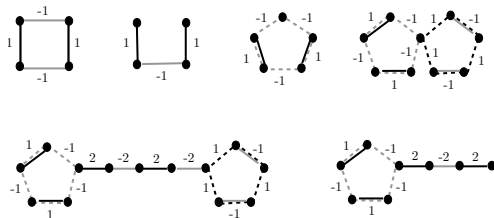
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