BEHAVIOURAL SCIENCE MEETS AI

A PRIMER

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WHY REVIEW THE INTERSECTION OF AI & BEH SCI?

There is much discussion about the intersection between AI and Behavioural Science but a lack of detailed research in this interdisciplinary area and a lack of clarity and consensus about the current state of play, the key issues, and the direction of future research travel.

This primer is intended to:

✓ Synthesise the current state of play and key definitions and categorizations of AI

✓ Identify initial applications and intersection between AI and BehSci

✓ *Highlight areas* of **challenges** and **future research**.

AGENDA

This presentation will offer 3 sections:

Part one – the big picture

- Definitions & Terms
- Framework of Relevant types of AI
- Applications of AI & Behavioural Science

Part two – the key challenges

- Ethical Considerations
- Regulation

Part three – recommendations and next steps

PARTONE: THE BIG PICTURE

CATEGORIZATION & TYPES OF ARTIFICIAL INTELLIGENCE

"A computer, or a system, made up of algorithms that is capable of intelligent behaviour" (Burns et al., 2022)

"An umbrella term for a range of algorithm-based technologies that solve complex tasks by carrying out functions that previously required human thinking" (Bergin & Tannock, 2020)

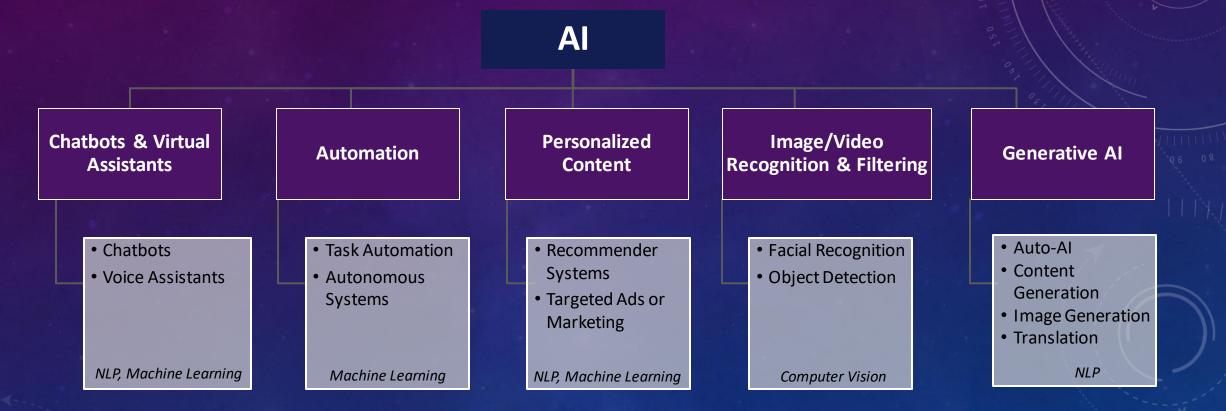
"The capability of a machine to imitate intelligent human behavior" (Marr, 2018)

What is "Intelligence"

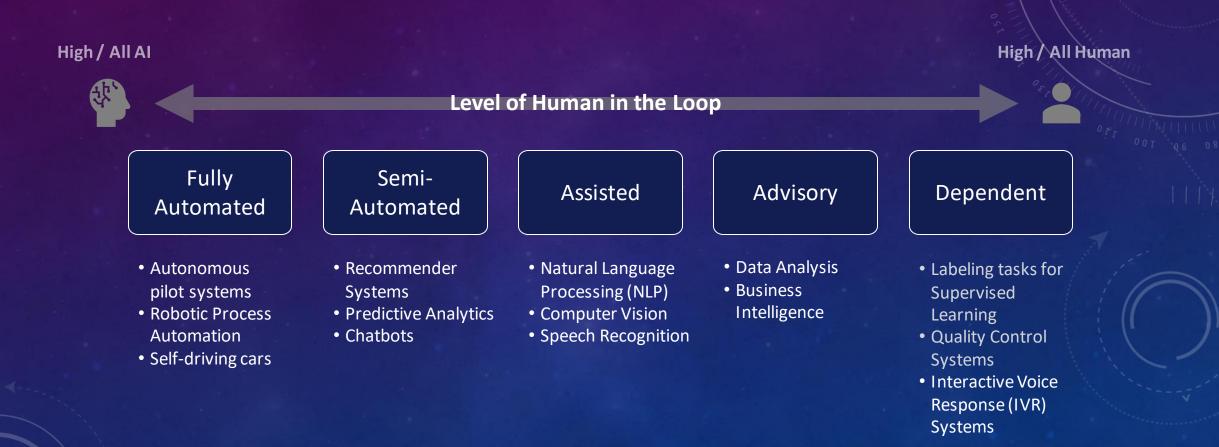
What is "General" versus "Narrow" AI?

Is AI a field of study or a capability?

RELEVANT TYPES OF AI



HUMAN IN THE LOOP*





INTERSECTION OF AI & BEHAVIOURAL SCIENCE

APPLICATIONS OF AI & BEHAVIOURAL SCIENCE

"algorithmic nudging is much more powerful than its non-algorithmic counterpart. With so much data about workers' behavioral patterns at their fingertips, companies can now develop personalized strategies for changing individuals' decisions and behaviors at large scale. These algorithms can be adjusted in real-time, making the approach even more effective."

Algorithmic nudges don't have to be unethical" Marieke Mohlmann, HBR, April 2021

HOW AI & BEHAVIOURAL SCIENCE INTERRELATE

BehSci
Targeted interventions Intervention implementation Sentiment analysis Significant effect validation Pattern-recognition to identify bias Research on human/Al collaboration

Applications

Al as a tool in BehSci

Machine Behaviour Big Data <> Behaviour BehSci as a Tool in Al Development

AI AS A TOOL IN BEHSCI

The extent to which AI extends the quality of behavioural research, insights, and interventions; Leveraging various forms of Artificial Intelligence to enhance behavioural science in practice, research, gathering insights, etc.

- 1. Improving data collection (e.g. emotional recognition systems)
- 2. Recognising heterogenous causal effects from false positives



- 1. Knowledge systems
- 2. Synthesis
- 3. Information extraction



Modelling behavioural responses in an 'artificial society'

BEHSCIAS A TOOL IN AI DEVELOPMENT AND INTERFACES

The usage of behavioural science theory, principles, and findings in the development and design of AI tools, systems, and agents; The extent to which behavioural insights can bridge the gap between emotional intelligence and AI

EXAMPLE USE CASES

Identification of biases to guide the creation of humane AI

- Accuracy (e.g. debiasing hiring algorithms)
- Interactivity (e.g. social humanoid robots)

Developing Explainability of (XAI) AI

- How exactly does input become output
- May aid in regulation how how AI provides conclusion / advice

Design of AI Interface

- Transparency in decisionmaking processes to improve user trust
- Anthropomorphization of Agent / Interface

BEHAVIORAL DATA SCIENCE

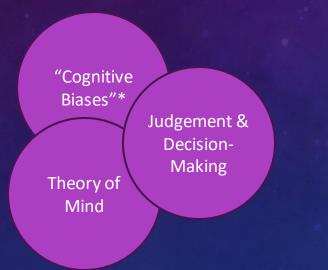
A combination of behavioural science techniques and computational approaches to predict, uncover insights on, (and change) human behavior. It rests on the combination of big data with a deep understanding of human behaviour/behavioural insights



"Behavioral data science tries to learn more about human psychology, its ideas, and its biases so that better predictions can be made, or patterns can be found." (Behera, 2023)

MACHINE BEHAVIOUR

Research to understand, assess, and uncover the patterns of 'decision-making in Artificial Intelligence, especially Generative AI and chatbots to assess the extent these 'machines' exhibit patterns of thinking similar to humans.



Select Research Highlights

Using cognitive psychology to understand GPT-3	Assessed GPT-3's performance on a variety of vignette-based tasks from the cognitive psychology literature
Machine Psychology: Investigating	Evaluation on the usage of different sub- fields of psychology to assess machine
Emergent Capabilities and Behavior in Large Language Models Using	behaviour, focusing on different lenses

Evaluated GPT-3's qualitative Large Language Models as Simulated presentation of various 'cognitive biases' **Economic Agents: What Can We Learn** using well studied vignettes from behavioural science

and use cases for each

Both private sector and academia have a stake in this application and leading companies such as Microsoft have funded Machine Behavior studies

Psychological Methods

from Homo Silicus?

PARTTWO: KEY CHALLENGES

ETHICAL FRAMEWORKS HAVE BEGUN TO EMERGE

OECD AI principles (May 2019)

Behavioural Science "FORGOOD" (Lades and Delaney, 2022)

Inclusive, sustainable, wellbeing Fair & human-centred Transparent & explainable Robust, Safe & Secure Accountability Fairness Openness Respect Goals Opinions Options Delegation

Is it clear when to apply which framework? Might 'neither' be the result No requirement to follow either or accountability for shortfall - transparency

MIND THE GAP

BUT issues arise at the intersection that are not explicitly addressed by either framework

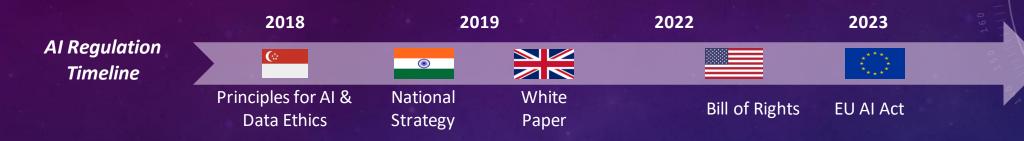
Data privacy inc biometric Bodily autonomy Addiction Real time interventions Hypernudging Algorithmic feedback loops Manipulation Dark nudges/ sludges Misrepresentation by anthropormophism New unprotected platforms

These applications may not have been envisaged in the design of either framework

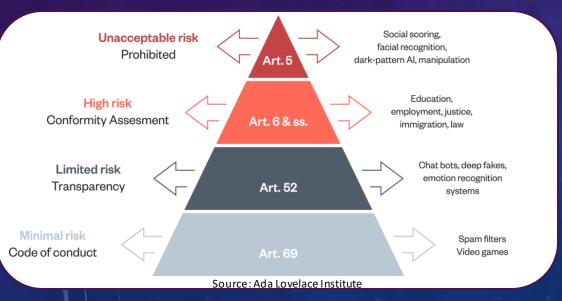
The combination creates new ethical challenges:

- Certain issues only arise at the intersection
- Existing issues that become turbo charged or qualitatively different (e.g., privacy)

REGULATION



Rules-based approach (EU)



Principles-based approach

Safety and robustness	US, UK
Protection against discrimination/ Fairness	US, UK, Singapore
Privacy	US
Transparency and explainability	US, UK, Singapore
Human alternatives, testing, and redress	US, UK
Accountability and governance	UK, Singapore

PARTTHREE: RECOMMENDATIONS & NEXT STEPS

RECOMMENDATIONS AND NEXT STEPS*

Opportunities

Al can turbo charge BehSci

- Opportunities for self-optimization
- More effective nudging, more targeted design, more reliable findings

BehSci is turbo charging AI (and is already embedded in digital marketing & design)

 Personalized nudging, Social robots, embodied AI, moral agency, emotional recognition, environmental cost,

Outstanding Considerations

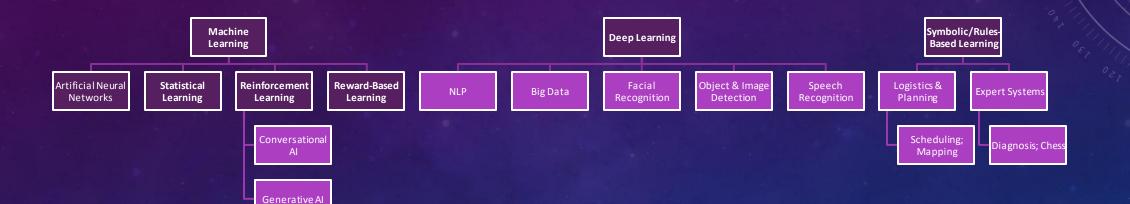
The power of the combination also materializes in risks and ethics

 Autonomous morality, emotional recognition, environmental cost, embodied AI

Where does Behavioural Science belong in AI research and development?

> Is this a new discipline, are they converging, how to navigate the gaps?

APPENDIX



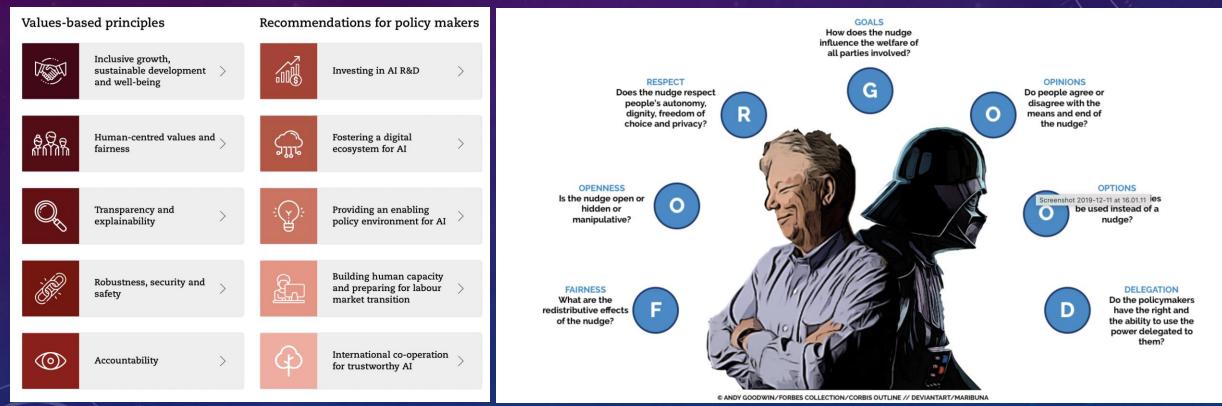
INTEGRATION OF AI

Integration	Description	Domains	Sub-types
Fully Automated	These are AI systems capable of operating without human intervention. They make decisions based on pre-set algorithms and can learn from their own experiences.	Autonomous pilot systems, Robotic Process Automation, Self-driving cars	Supervised Learning, Reinforcement Learning, Deep Learning
Semi-Automated	Al systems that require some degree of human input or intervention. They support human decision-making but don't replace it.	Recommender Systems, Predictive Analytics, Chatbots	Semi-Supervised Learning, Interactive Learning
Assisted	These AI systems provide help and augmentation to human operators, but the final decision-making still lies with humans. They provide analysis, recommendations, or insights to aid decision- making.	Natural Language Processing (NLP), Computer Vision, Speech Recognition	Transfer Learning, Multimodal Learning
Collaborative	Collaborative AI systems work in conjunction with humans, where the AI provides real-time insights, suggestions, and supports interactive decisions.	Real-time bidding in Digital Marketing, Augmented Reality (AR), Real-time Analytics	Collaborative Filtering, Collaborative Robots (Cobots)
Advisory	Al systems that provide suggestions or advice, yet final decision- making remains entirely with humans. They are primarily used to gain insights from data.	Data Analysis, Business Intelligence, Market Research	Unsupervised Learning, Anomaly Detection
Dependent	AI systems that are entirely dependent on human input for decision- making. They rely on humans for learning and improving their performance.	Supervised Learning in ML, Quality Control Systems, Sentiment Analysis	Active Learning, Feature Extraction

ETHICAL FRAMEWORKS HAVE BEGUN TO EMERGE

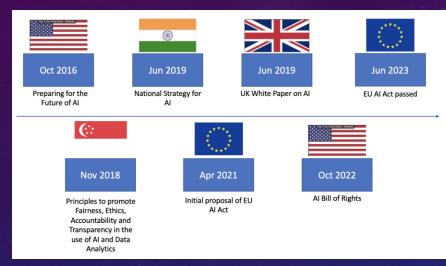
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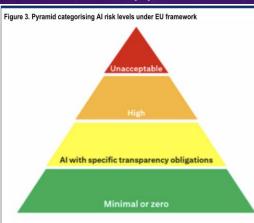


REGULATION

AI Regulation Timeline



Rules-based approach



Unacceptable risk: systems that assess social <u>behaviour</u> and produce a scoring system (social scoring) are completely prohibited.

High risk: systems that could be used in law enforcement, education, and healthcare for instance, are subject to strict regulatory requirements for their use.

Al with specific transparency obligations: systems are permitted but required to follow transparency rules, where individuals are informed that they are interacting with Al systems.

Minimal or no risk: systems are permitted with no restrictions and remain largely unregulated. © 2023 Citigroup Inc. No redistribution without Citigroup's written permission. Source: Citi Global Insights

Principles-based approach	
Safety and robustness	US, UK
Protection against discrimination/	US, UK, Singapore
Privacy	US ODI Q6
Transparency and explainability	US, UK, Singapore
Human alternatives, testing, and redress	US, UK
Accountability and governance	UK, Singapore

MACHINE BEHAVIOR – ASSESSING PRESENCE OF 'COGNITIVE BIAS' IN AI

Understand and uncover the patterns of 'decision-making in Artificial Intelligence, especially GenAI and chatbots to assess the extent these 'machines' exhibit patterns of thinking similar to humans

RATIONALES

Training Process / Data: Presence of patterns in training data without discernment.

Human Reinforcement Learning: Potential for bias to creep in with human input in model refinement

Assessment via:

- Replication of well-studied vignettes
- Novel experiments based on well-studied vignettes

Research is:

- Primarily focused on discrete choice experiments
- Limited research on assessing rationales for machine behaviour
- Primarily assess via qualitative methods with some using quantitative